

Dalton Financial LLC

Robert Dalton Higgins, CFP® Principal

Privacy Policy

What Does Dalton Financial LLC Do with Your Personal Information?

Why? Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law requires us to tell you how we conduct, share and protect your personal information. Please read this notice carefully to understand what we do.

What? The types of personal information we collect can include:

Social Security Number	Investment Experience
Income	Account Transactions
Assets	Retirement Assets

How? All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Dalton Financial LLC chooses to share; and whether you can limit this sharing.Reasons We Can Share Your Personal Information	Does Dalton Financial LLC share?	Can you limit this sharing?
For our everyday business purposes. Such as to process, service and report on your transactions, maintain your accounts at a custodian, respond to court orders, regulatory and legal investigations, or report to credit bureaus.	Yes	No
For our marketing purposes. We do not share information with our affiliates or other non-affiliated third parties to offer you products and services without your consent.	No	No

Questions? Email your question to bob@daltonfin.com

Who Is Providing This Notice?

Dalton Financial LLC and Robert D. Higgins, Principal. We do not share information for marketing purposes.

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